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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Erik J. Zimmer, Scott D. Wilson, Ian R. Redmond, Bernard W. Bell and Daniel G. Bergeson

Assignee: DataPlay, Inc.

Title: Objective Lens Alignment In Optical Pickup Unit Assembly

Serial No.: 09/846,052 Filing Date: May 1, 2001

Examiner: Unknown Group Art Unit: 2651

Docket No.: M-11478 US

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San Jose, California
September 16, 2002

COMMISSIONER FOR PATENTS
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**INFORMATION DISCLOSURE STATEMENT UNDER
37 C.F.R. § 1.97(c) WITH CERTIFICATION UNDER
37 C.F.R. §§ 1.97(e)(1) and 1.704 (d)**

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, Applicants wish to call the following documents (copies enclosed) to the attention of the Examiner for the above-identified patent application. The documents were first cited by in the Notification of Transmittal of the International Search Report of the Declaration for the corresponding foreign PCT Application No. PCT/US02/13867 dated May 2, 2002.

A form PTO-1449 listing these documents is enclosed.

Citation of the above documents shall not be construed as:

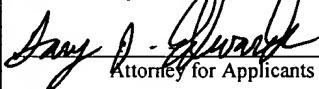
1. an admission that the documents are necessarily prior art with respect to the instant invention;

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SKJERVEN MORRILL LLP
25 METRO DRIVE
SUITE 700
SAN JOSE, CA 95110
(408) 453-9200
FAX (408) 453-7979

2. a representation that a search has been made, other than as described above; or
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

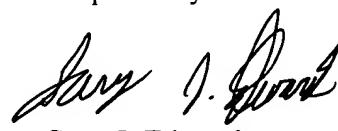
The undersigned hereby certifies in accordance with §§ 1.97(e)(1) and 1.704(d) that each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement and this communication was not received by any individual designated in § 1.56(c) more than 30 days prior to the filing of this information disclosure statement.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on September 16, 2002.


Gary J. Edwards
Attorney for Applicants

9/16/02
Date of Signature

Respectfully submitted,



Gary J. Edwards
Attorney for Applicants
Reg. No. 41,008

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SUITE 700
SAN JOSE, CA 95110
(408) 453-9200
FAX (408) 453-7979